# To What Extent Can Nine-Membered Monocycles Be Aromatic?

# Paul von Ragué Schleyer,\*[a] László Nyulászi,\*[b] and Tamás Kárpáti[b]

Keywords: Aromaticity / Heterocycles / Nine-membered rings

Ab initio and density functional computations have been used to characterize the heteronins. New targets for synthesis are suggested on the basis of the results. The aromaticity of the heteronins was evaluated via numerous criteria such as magnetic properties (NICS and  $\delta_{\rm H}$ ), geometric indices (Bird, BDSHRT and HOMA), aromatic stabilization energies (ASE), and the barriers to planarity. Along with the experimentally characterized cyclononatetraenyl (1CH $^-$ ) and azonide (1N $^-$ ) anions, the phosphonide ion (1P $^-$ , which has not been reported before), favors planar ( $C_{2\nu}$ ) symmetry. All the other heteronins are nonplanar in order to alleviate ring strain. Comparisons of the neutral planar heteronins (constrained to  $C_{2\nu}$  symmetry) show the extent to which heteroatoms like P and S, as well as N and O (in oxonin, 10), can participate in the cyclic electron delocalization. Due to

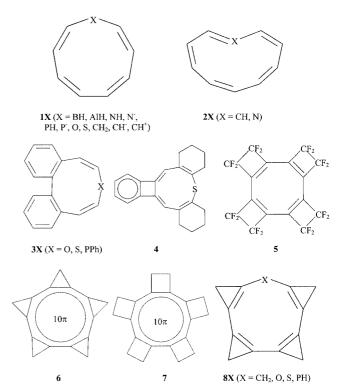
hyperconjugation, the  $C_{2v}$ -symmetric 9,9-distannylcyclononatetraene  $\mathbf{1C(SnH_3)_2}$  is moderately aromatic, in contrast to  $\mathbf{1CH_2}$ , which is a nonaromatic, conjugated system. The planar  $C_{2v}$ -symmetric  $\mathbf{1BH}$  and  $\mathbf{1AlH}$  and  $\mathbf{1CF_2}$  are antiaromatic, like the planar form of  $\mathbf{1CH^+}$ . Annelation with three-membered rings resulted in planar heteronins. The sole exception was phosphonin (8PH), but the barrier to planarity decreased to 1.8 kcal/mol in 8PR, due to the bulky  $\mathbf{R}$ : 2,6-ditert-butylphenyl substituent at the phosphorus atom. Among the  $8\pi$  heteronins, only the previously reported  $\mathbf{1CH^+}$  is Möbius-aromatic;  $\mathbf{1BH}$ ,  $\mathbf{1AlH}$  and  $\mathbf{1CF_2}$  also have  $C_2$  structures but are nonaromatic due to large torsional angles which preclude cyclic delocalization.

(© Wiley-VCH Verlag GmbH & Co. KGaA, 69451 Weinheim, Germany, 2003)

## Introduction

Heteronins (1) are nine-membered heterocycles,  $\pi$ -isoelectronic with (CH)<sub>9</sub><sup>-</sup> (1CH<sup>-</sup>).<sup>[1]</sup> These potentially aromatic  $10\pi$ -electron monocyclic systems suffer from less strain than the ten-membered annulenes, e.g. cyclodecapentaene (2CH) and azacyclodecapentaene (2N) which do not favor planar geometries.<sup>[2-4]</sup> Indeed, 1CH<sup>-</sup> is a thermodynamically stable, planar  $D_{9h}$  species exhibiting a high degree of cyclic electron delocalization ( $\delta_{\rm H}=6.72-7.13$  ppm, depending on the solvent and the counterion). [5-9] Azonin (1NH) and the azonide ion  $(1N^{-})$  are, however, the only known, stable  $10\pi$  heteroaromatic monocycles.<sup>[7,10-12]</sup> According to Anasstassiou and Reichmanis, [7] the delocalization of the heteroatom lone pair is about 58% in azonin and about 77% in the azonide ion (on the basis of their <sup>13</sup>C NMR chemical shifts in the δ position, relative to those of 1CH<sub>2</sub> and 1CH<sup>-</sup> as references). Oxonin (10) and 1NR (where R is an electron-withdrawing group, e.g. ethoxycarbonyl) readily rearrange into more stable bicyclic forms (Scheme 1, X = O, NR) like cyclononatetraene (1CH<sub>2</sub>) itself.<sup>[11]</sup>

Supporting information for this article is available on the WWW under http://www.eurjoc.org or from the author.

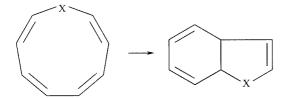


The parent thionin (1S) has not been synthesized,<sup>[10]</sup> but derivatives (3S and 4, as well as 3O) are known. According to their <sup>1</sup>H NMR spectra, 1O and 1NR, as well as 3O, 3S

1521 Budapest, Hungary

<sup>[</sup>a] Center for Computational Quantum Chemistry, The University of Georgia, Athens,
Georgia 30602-2525, USA
[b] Department of Inorganic Chemistry, Budapest University of Technology and Economics,

and 4 do not exhibit diatropic ring currents.[11,13-15] This agrees with the olefinic rather than aromatic behavior of these heteronins: hydrogenation, [11,14] rapid decomposition<sup>[11,16,17]</sup> and Diels-Alder<sup>[12,18]</sup> reactions. Some heavily substituted derivatives of 1PH have also been reported.[19-21] They have been characterized as flexible, nonaromatic structures by their NMR data.



Scheme 1

Some theoretical reports are available for the heteronins 1NH, 10 and  $1S.^{[22]}$ Early semiempirical calculations<sup>[22a-22d]</sup> (considering planar structures only) and graph-theoretical considerations[22e,22f] suggested that the aromatic character of 1NH is the largest among the heteronins. The aromaticity of 1S is close to that of 1NH, but 10 is nonaromatic, according to most of these studies, which only considered the planar structures. These were optimized (at the MNDO level) for 1NH, 10 and 1S and the aromaticities of the nonplanar 1S and 10 were found to be rather small.<sup>[22d]</sup> The structure and aromaticity of 10, 1NH and 1S have been investigated at the B3LYP/6-311G(2d,p) level of theory in a recent paper, [22g] and compared to the corresponding five-membered heterocycles. Both 1NH and 1S (in contrast to the MNDO results)[22d] were found to be planar and aromatic, while 10 is a nonplanar, nonaromatic species. The nonplanar, nonaromatic character of 10 has been attributed to the high electronegativity of oxygen preventing effective cyclic electron delocalization. Apart from the information obtained experimentally and reviewed by Anastassiou,[10] no broad, comprehensive study of the heteronins, emphasizing their aromaticity, is available; the recent theoretical paper<sup>[22g]</sup> only considers heteroatoms O, N and S.

Computational chemistry is an ideal method to investigate the relative thermodynamic stability and the aromaticity, not only of the minimum energy forms but also of the planar structures uniformly. We now characterize the heteronins (1X;  $X = NH, N^-, PH, P^-, O, S$ ) and 1CH<sup>-</sup> by a variety of geometric (Bird, [23] BDSHRT[24] and HOMA[25] index), energetic (barrier to planarity and aromatic stabilization energy, ASE, see Scheme 2) and magnetic criteria (<sup>1</sup>H NMR chemical shifts, nucleus-independent chemical shifts, NICS<sup>[26]</sup>). For comparison, we investigated the  $8\pi$ -electron species (X = BH, AlH,  $CH^+$ , [27]  $NH^{2+}$ ) as well. Depending on the geometry and the electronic state, these species have the potential of being singlet antiaromatic, singlet Möbius aromatic,<sup>[27]</sup> or triplet aromatic.<sup>[28]</sup>

Effective hyperconjugative participation of the  $\sigma$ -bonds in  $6\pi$ -electron aromatic cyclic delocalization has recently been demonstrated to stabilize 5,5-distannylcyclopentadi-

© 2003 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim

(1) 
$$2 \xrightarrow{X} + \xrightarrow{X} + \xrightarrow{X}$$

$$CH_2 \xrightarrow{X} + \xrightarrow{CH_2} + \xrightarrow{CH_2}$$

Scheme 2

ene and 5,5-disilylcyclopentadiene.<sup>[29]</sup> In contrast, 5,5-difluorocyclopentadiene has the opposite behavior due to the electron withdrawal and is antiaromatic. [29-31] Is it possible to planarize and to stabilize a nine-membered ring by this kind of "hyperconjugative aromaticity"? To investigate this possibility, we also computed 1X, where  $X = C(SiH_3)_2$ ,  $C(SnH_3)_2$ ,  $CH_2$  and  $CF_2$ .

Although cyclononatetraene, oxonin, thionin and phosphonin are known to be nonplanar species, annulenes can, in general, be planarized by condensed small ring attachment. Such species could be investigated experimentally, as has been reported for the cyclooctatetraene derivative 5.[32] Like the small ring-fused cyclodecapentaenes 6, 7, [33] planar structures 8X also are predicted computationally here.

#### **Methods**

Final geometries were optimized at the B3LYP/6-311+G(d,p) level of theory. Vibrational frequencies were computed at B3LYP/6-31G(d)//B3LYP/6-31G(d), also using the Gaussian-98 program package.<sup>[34]</sup> Relative energies and heats of reaction are ZPE-corrected. When small energy differences were obtained, single-point CCSD(T)/cc-pVDZ computations were performed on the B3LYP/6-311+G(d,p)geometries. For the tin-containing compounds, the LANL2DZ effective core potential was used, as implemented in the Gaussian-98 package, with polarization functions on all carbon and tin atoms (of d type with preexponential coefficients of 0.800 and 0.183, respectively). Magnetic properties (dissected NICS and  $\delta_H$  relative to TMS) were computed by using the deMon Master program<sup>[35a]</sup> at the IGLO-III A5/PW91//B3LYP/6-311+G(d,p)level of theory.[35b] In some cases, in order to save computational time, HF-GIAO/6-311+G(d,p) NICS values were computed for the same geometries.

## **Results and Discussion**

The paper is organized as follows. First we discuss the relative energies of the different possible conformations of the heteronins. Next, aromaticity, as a possible stabilizing effect of the planar  $C_{2\nu}$  structures will be analyzed, and compared on the basis of geometric, magnetic and energetic aromaticity criteria. The difference in the aromaticity ordering of the  $C_{2\nu}$  structures and their relative stability with respect to the nonplanar conformers will be discussed, considering other destabilizing (ring strain) and stabilizing (Möbius aromaticity of the  $8\pi$  systems) effects, as well as triplet excited states. Finally, we consider substituent effects, which can stabilize the planar structures, resulting in potentially synthesizeable aromatic heteronins.

#### **Conformations**

The conformations considered for the heteronins, 1X, are shown in Figure 1. Apart from the  $D_{9h}$  conformation of the cyclononatetraenyl anion and the potentially aromatic  $C_{2\nu}$ "out" structures of the heteronins, 1CH- also is known to have a  $C_s$  "in" conformer which can rearrange to the  $D_{9h}$ form via an energy barrier of at least 30 kcal/mol (depending on the experimental conditions). [9a] The  $C_2$ (twisted),  $C_s$  and  $C_1$  geometries – where ring strain can be released at the cost of reduced aromaticity – were also considered in the present work.

Planar structures were computed to be minima only for **1CH**<sup>-</sup> ( $D_{9h}$  "out"), **1NH** ( $C_{2\nu}$  "out"), **1N**<sup>-</sup> ("out" and "in" both  $C_{2\nu}$ ) and **1P**<sup>-</sup> ( $C_{2\nu}$  "out"). For **1CH**<sup>-</sup>, the  $D_{9h}$  "out" is more stable than the  $C_s$  "in" structure by 15.7 kcal/mol [7.0 kcal/mol at the CCSD(T)/cc-pVDZ level] in agreement with the experimentally observed relative stabilities.<sup>[9]</sup> For  $1C(SnH_3)_2$ , 10, 1S and  $1C(SiH_3)_2$ , the  $C_{2\nu}$  "out" structures are 3.5, 4.2, 4.2 and 8.6 kcal/mol higher in energy, respectively, than the most stable geometries.<sup>[36]</sup> [Note that we

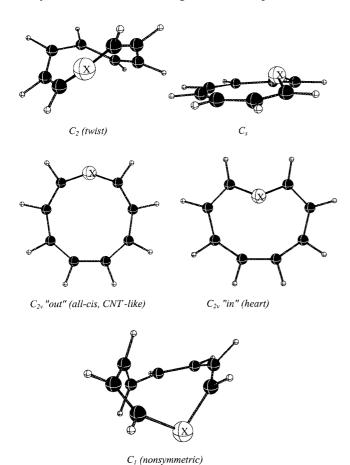


Figure 1. Possible heteronin conformers

find 1S to be *nonplanar*, contradicting the recent statement of Salcedo et al. [22g] Consequently, we repeated their computations at the level reported, [22g] but the results confirmed our nonplanar preference. Like our B3LYP/6-311+G(d,p) results, the planar  $C_{2\nu}$  structure of 1S is a transition state 4.0 kcal/mol higher in energy than the nonsymmetric minimum at the B3LYP/6-311G(2d,p) level of theory used by Salcedo et al.[22g] The planarization energies of 1PH, 1CH<sub>2</sub>, 1AIH, 1BH, 1CF<sub>2</sub> and 1CH<sup>+</sup> exceed 10 kcal/mol (10.7, 14.3, 21.9, 22.0, 22.1 and 26.2<sup>[37]</sup> kcal/mol, respectively). Is the preference of the planar structures of 1CH<sup>-</sup>, 1N<sup>-</sup>, 1NH and 1P<sup>-</sup> due to their greater aromaticity than the other isoelectronic species?

# **Aromaticity Criteria**

Various aromaticity criteria for the  $C_{2\nu}$  "out" conformers of the heteronins are compiled in Table 1. The Bird aromaticity index, which is a measure of the bond length equalization, shows small values for the  $8\pi$ -electron systems (1BH, 1AlH and 1CH<sup>+</sup>) and for 1CF<sub>2</sub>, in agreement with their expected antiaromaticity. The anionic species (1CH-, 1N<sup>-</sup> and 1P<sup>-</sup>) have the largest Bird indices. Quite large Bird aromaticity values are obtained for the  $C_{2\nu}$ -symmetric 1PH, 1S and 1NH, while those for 1O, 1C(SiH<sub>3</sub>)<sub>2</sub> and 1C(SnH<sub>3</sub>)<sub>2</sub> are intermediate.

Aromaticity, as a manifestation of the ring current arising from the cyclic electron delocalization, can be described by the chemical shift of the ring protons, or better, by the nucleus-independent chemical shifts (NICS).[26] The NICS values are a composite of contributions from the  $\sigma$ -skeleton (which diminishes rapidly above the ring)[26b] and from the  $\pi$ -system, which exerts a longer-range diamagnetic effect for aromatics. Good aromaticity characterizations are  $NICS_{\pi}(0)$ , the  $\pi$  contribution at the ring center (which can be obtained from the IGLO program)<sup>[26b,35]</sup> and NICS(1), the total value 1 Å above the center (which can be obtained by most magnetic property programs). NICS(1) often is quite close to the NICS<sub> $\pi$ </sub>(1) value due to the reduction and the cancellation of the  $\sigma$  contribution (cf. Table 1). The behavior of NICS data of the  $C_{2\nu}$  "out" heteronins resembles that of the geometric aromaticity indices. The  $8\pi$ -electron 1BH and 1CH+ are clearly antiaromatic; 1AIH and 1CF2 also have some antiaromatic character (NICS > 0), whereas the anions and 1NH are the most aromatic systems. The NICS values of the hyperconjugated 1C(SiH<sub>3</sub>)<sub>2</sub> and 1C(SnH<sub>3</sub>)<sub>2</sub> are like those of oxonin, 1O. Note that 1CH<sub>2</sub> exhibits small negative NICS values due to the local shielding effects of the four double bonds. NICS values for the  $C_{2\nu}$ -symmetric 1CH<sup>-</sup>, 1CH<sub>2</sub> and 1CH<sup>+</sup> can serve as references for the evaluation both of the "aromatic" and the "antiaromatic" NICS data.

The aromatic stabilization energies of the planar structures have been estimated by comparing the heteronins to the corresponding five-membered heterocycles (see Scheme 2), by employing the  $C_{2\nu}$  "out" structures of the heteronins and the cyclononatetraene, 1CH2. The ASEs of the five-membered ring systems were evaluated by using Equation (1) in Scheme 2. The aromatic stabilization ener-

Table 1. Aromaticity criteria for the planar  $C_{2\nu}$  "out" heteronins

	NICS(0)/NICS <sub><math>\pi</math></sub> (0) <sup>[a]</sup>	$NICS(1)/NICS_{\pi}(1)^{[a]}$	Bird <sup>[b]</sup>	BDSHRT <sup>[24]</sup>	HOMA <sup>[c]</sup>	$\Delta E_{ m plan}$ [d]	ASE <sup>[e]</sup>	$\delta_H(\gamma,\delta)^{[f]}$	No. of imaginary frequencies
$\mathbf{X}^{[g]}$	ppm	ppm	_	_	_	kcal/mol	kcal/mol	ppm	_
ВН	+21.0/ <b>+14.8</b>	+16.6/+14.4	29.9	47.5	_	22.0	+9.6	3.5, 3.4	2
AlH	+7.2/ <b>+2.2</b>	+5.3 <b>/+3.2</b>	41.7	50.3	_	21.9	+1.3	5.3, 5.2	2
CH+	[h]	[h]	56.9	57.2	+0.37	26.2	+18.9	[h]	2
$CH_2$	-4.8/ <b>-9.6</b>	-5.1/ <b>-6.2</b>	49.1	54.1	+0.09	14.3	-2.8	7.1, 7.1	1
CH-	-14.3/ <b>-19.0</b>	-12.7/ <b>-14.0</b>	100	58.9	+0.95	0	-55.6	7.1, 7.1	0
NH	-12.9/ <b>-18.2</b>	-11.5/ <b>-12.7</b>	78.3	59.7	+0.91	0	-24.6	7.8, 7.9	0
$N^-$	-14.0/ <b>-19.0</b>	-12.5/-13.0	94.8	59.8	+0.96	0	-41.7	7.1, 7.0	0
PH	-14.4/ <b>-18.7</b>	-12.8/ <b>-13.7</b>	83.3	66.0	+0.97	10.7	-2.0	8.1, 8.2	1
P-	-13.8/ <b>-18.0</b>	-12.4/ <b>-13.4</b>	96.4	59.2	+0.91	0	-34.3	7.4, 7.3	0
O	-10.8/ <b>-16.2</b>	-9.9/ <b>-11.2</b>	65.6	58.1	+0.67	4.2	-13.0	7.5, 7.8	1
$\mathbf{S}$	-12.4/ <b>-17.3</b>	-11.2/ <b>-12.7</b>	79.8	61.4	+0.88	4.2	-10.8	8.1, 8.2	1
CF <sub>2</sub>	+5.6/-0.4	+3.8/ <b>+1.6</b>	31.0	51.1	-0.28	22.1	+8.4	5.5, 5.7	2
$C(SiH_3)_2$	-9.1/ <b>-13.0</b>	-8.8/ <b>-9.2</b>	66.1	56.5	+0.42	8.6	-5.4	7.7, 7.7	1
$C(SnH_3)_2$	-10.4/ <b>-16.2</b>	-9.9/ <b>-11.9</b>	75.4	53.6	+0.51	3.5	-9.8	7.9, 8.0	1

[a] NICS(0) denotes nucleus-independent chemical shift at the geometrical center of the ring and NICS(1) stands for NICS at 1 Å above the center. [b] Bird indices[23] are calculated on the basis of the  $H_3C-X$  single and  $H_2C=X$  double bond lengths at the B3LYP/6-311+G(d,p) level of theory. [c] HOMA values are computed via the "virtual CC bond length" approach. [d] The barrier to planarity was obtained as the difference of the B3LYP/6-31G(d) ZPE corrected B3LYP/6-311+G(d,p) total energy of the most stable and the  $C_2v$ conformers. [e] Aromatic stabilization energies were computed as the sum of the heats of the homodesmotic reactions (1) and (2), shown in Scheme 2. [f] H chemical shifts of the γ and δ protons were computed at the same level as NICS. [g] According to 1X. [h] Due to wavefunction instability, these values (although indicating strong paramagnetic ring currents) are not reliable.

gies in Table 1 are the sum of the energies of reactions (1) and (2).[38]

The anions are stabilized most, due to the greater charge distribution than in the corresponding anions of the fivemembered heterocycles. Note that the widened CCC bond angle in the allyl anion (132°)[39] corresponds more closely to the average angle in the nine-, rather than in the fivemembered rings. Hence, the negatively charged rings should be less strained in the planar 1CH-, 1N- and 1P- conformations, compared to the planar forms of 1CH2, 1NH and 1PH. The predicted stabilization energy is also high for 1NH, moderate for 10, 1S and 1C(SnH<sub>3</sub>)<sub>2</sub>, and smaller for 1C(SiH<sub>3</sub>)<sub>2</sub>. For 1CH<sub>2</sub> and 1PH (see below) the stabilization is near zero. Among the unfavorable  $C_{2\nu}$ -symmetric  $8\pi$ -electron heteronins, the destabilization is slight for 1AIH, moderate for 1BH and 1CF2, and largest for 1CH+.

Note that hyperconjugation can be effective in the heteronins. As the different aromaticity measures for C<sub>9</sub>H<sub>8</sub>X systems  $[X = CH^+, CF_2, CH_2, C(SiH_3)_2, C(SnH_3)_2, and$ CH<sup>-</sup>] compared in Figure 2 show, 1CF<sub>2</sub> has significant antiaromatic character but  $1C(SiH_3)_2$  and  $1C(SnH_3)_2$  are moderately aromatic (like 10). This behavior is similar to our previous results for five-membered rings.<sup>[29]</sup>

The different aromaticity criteria of the planar  $C_{2\nu}$  structures correlate well with each other with the exception of **1PH**. The stabilization energy is low, as computed by the isodesmic reactions (1) and (2), while high aromaticity can be concluded on the basis of geometric and magnetic measures. The energies from reactions (1) and (2) are misleading, since the five-membered phosphole and the fully and partially saturated reference structures in reaction (2) involve tricoordinate phosphorus and have nonplanar PH groups.

© 2003 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim

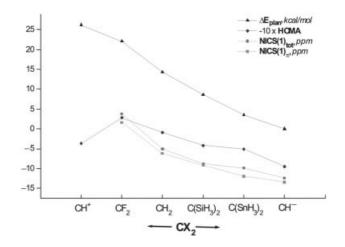


Figure 2. Comparison of various aromaticity criteria for the hyperconjugated (CH)<sub>8</sub>CX<sub>2</sub> species ( $C_{2\nu}$  "out" conformation)

All of these forms are more stable than the corresponding planar structures due to the high inversion barrier at the tricoordinate phosphorus atom.[40-42] The stabilization given by reaction (1) becomes -14 kcal/mol when planar phosphole and planar reference molecules are used. The stabilization given by reaction (2) is -26.7 kcal/mol, when all phosphorus environments are planar.

The conjugative ability of a planar tricoordinate phosphorus atom is known to be excellent.<sup>[42,43]</sup> The sum of the energies from reactions (1) and (2) is about 40 kcal/mol, being among the highest stabilization energies in Table 1 (cf. 1CH-, 1N-, 1P-) This agrees with the other high aromaticity indexes obtained for  $C_{2\nu}$ -symmetric 1PH. Phosphole behaves similarly; the planar structure, which is also a saddle point rather than a minimum, is highly aromatic.[40-42] The inversion barrier of the tricoordinate phosphorus atom in phosphole is low, only 18.0 kcal/ mol, [41] but it is even lower, 10.7 kcal/mol, in 1PH. Since its inversion barrier is decreased by opening one of its valence angles, [42] the planar tricoordinate phosphorus atom is accomodated better in a nine- than in the five-membered ring. Thus, note the 14 kcal/mol stabilization energy given by reaction (1) when the phosphorus environments are planar in both the nine- and the five-membered rings; this is mainly attributable to the alleviation of the angle strain at the planar tricoordinate phosphorus atom in the planar nine-membered ring.

This alleviation of the ring strain helps to planarize the tricoordinate phosphorus atom in 1PH. However, in the minimum energy structure of 1PH both the tricoordinate phosphorus atom and the entire ring are nonplanar. Similarly, 1S, in agreement with the observations of Salcedo et al., [22g] exhibits rather high aromaticity in its  $C_{2\nu}$  form (see Table 1) but is nonplanar. Ring strain, which should be rather high in both 1PH and 1S, is responsible for this behavior. The smaller bond angles (93–95° in H<sub>3</sub>P and H<sub>2</sub>S) favored by second-row elements are difficult to accommodate in planar nine-membered rings, where the bond angles average 140°. Whereas the nonplanarity of 10 can be attributed (in agreement with Salcedo et al.[22g]) to a reduction in aromaticity due to the strong electron-localizing effect of oxygen, the nonplanarity of 1S is attributable mainly to angle strain.

The geometric and magnetic criteria essentially agree on the following aromaticity order of the  $C_{2\nu}$  heteronins:  $1CH^- > 1N^- > 1PH > 1P^- > 1NH > 1S > 10 >$  $1C(SnH_3)_2 > 1C(SiH_3)_2 > 1CH_2 > 1CF_2 > 1AIH > 1BH$ > 1CH<sup>+</sup>. This aromaticity ordering agrees nicely with the  $\pi$ -donor ability of the heteroatom lone pair, similarly to the case of the five-membered heteroaromatic systems. On the other hand, the ASE order parallels the planarization energy more closely; the  $C_{2\nu}$  forms of 1PH and 1S are rather high in energy (Figure 3).

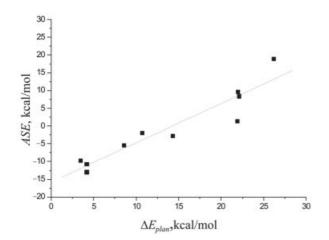


Figure 3. ASE vs. barrier to planarity

Eur. J. Org. Chem. 2003, 1923-1930

#### 8π-Electron Systems

Like the other heteronins investigated here, the  $8\pi$ -electron systems (1CH+, 1BH, 1AlH and the hyperconjugated  $1CF_2$ ) have singlet electronic ground states. These  $8\pi$  systems favor  $C_2$  or nonsymmetric structures; the barriers to planarity are large (more than 20 kcal/mol) due to the destabilization of the singlet antiaromatic planar  $C_{2\nu}$  structures. While 1CH<sup>+</sup> has the half-twist Möbius-aromatic topology, with dihedral angles between vicinal hydrogen atoms large enough (130°) to allow  $\pi$  overlap, [27a] **1BH**, **1AlH** and  $1CF_2$  have  $C_2$  structures with the conjugation interrupted by the 70-90° dihedral angles between the vicinal hydrogen atoms on the C atoms in the  $\delta$  positions. The measures of aromaticity collected in Table 2 document the Möbius aromaticity of singlet 1CH+ clearly; 1BH, 1CF2 and 1AlH are nonaromatic, with near-zero NICS, and small geometric aromaticity indices. Möbius aromaticity is not restricted to 1CH<sup>+</sup>. The optimized structure of the isoelectronic 1NH<sup>2+</sup> also adopts a Möbius topology, similar to 1CH+. Accordingly, the aromaticity measurements in Table 2 indicate its large cyclic electron delocalization.

Table 2. NICS(0) values and Bird indices for the potentially Möbius aromatic species

Species	NICS(0) (p	opm) Bird
1CH <sub>2</sub> (C <sub>2</sub> )	-0.5	28.8
$1CH^{+}(C_{2})$	-13.6	85.4
<b>1BH</b> (fully optimized $C_2$ structur)	+0.9	26.7
<b>1BH</b> (HCCH dihedral is frozen as in <b>1CH</b> <sup>+</sup> )	+0.02	26.0
<b>1BH</b> (forced in the structur of <b>1CH</b> <sup>+</sup> )	-7.8	80.4
$1NH^{2+}(C_2)$	-14.0	83.6
1AlH $(\hat{C}_2)^{-1}$	+0.4	24.6
$1CF_2(C_2)$	-0.9	22.1

Is the Möbius aromatic stabilization small in 1BH and the other two  $8\pi$  heteronins (1AlH and 1CF<sub>2</sub>)? An even distribution of the  $\pi$  electrons in the  $8\pi$  Möbius heteronins requires the C<sub>8</sub>H<sub>8</sub> fragment to serve as a net π-electron donor, and the hetero-unit as a  $\pi$ -electron acceptor. The electropositive boron atom is a weaker acceptor than the carbocation (or the amino dication). This situation is similar to the seven-membered ring borepin, [44] which is less aromatic than the isoelectronic tropylium cation (the HF-GIAO/6-311+G\*\* NICS values are -3.7 and -7.4 ppm, respectively). We have calculated a -7.8 ppm NICS(0)value for a boronin (C<sub>8</sub>H<sub>9</sub>B) structure fixed at the optimized Möbius geometry of 1CH<sup>+</sup>. This documents the reduction in aromaticity relative to 1CH<sup>+</sup>. Optimizing 1BH further by relaxing all constraints other than the near 130° dihedral angle of the vicinal hydrogen atoms (in the  $\delta$  positions), the NICS(0) value dropped to 0.0 ppm, and the alternation of the C-C bond lengths increased significantly, resulting in a small Bird index (26.0, Table 2).

The difference of B vs. C+ conjugation was also shown by comparing the rotational barriers at the terminal C-C bonds of  $H_2C^+$  – CH = CH – CH =  $CH_2$  (21.0 kcal/mol) with

© 2003 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim

H<sub>2</sub>B-CH=CH-CH=CH<sub>2</sub> (only 8.3 kcal/mol; both at B3LYP/6-311+G\*\*). The smaller conjugation in **1BH** not only weakens the aromaticity of the Möbius structure, but also alleviates ring strain by decoupling the double bonds and allowing rotation.

# **Triplet States**

The 1CH<sup>+</sup> triplet state favors a "shallow-dish"  $C_s$  structure with nearly identical (1.401–1.407 Å) bond lengths. The 1BH triplet is similar, but has a "soup-bowl" geometry and a much larger (1.363-1.442 Å) range of C-C bond lengths. The 1AIH triplet has a nonsymmetric, deformed minimum. The relative energies of some singlet and triplet  $8\pi$  heteronins are collected in Table 3. It has been pointed out by Baird, [44] and later fully elaborated by Schleyer and co-workers,[45] that the Hückel aromaticity/antiaromaticity is reversed between the singlet and the triplet systems. 1CH<sup>+</sup> has been found to be strongly aromatic in the triplet state, and its  $C_s$  structure was found to be more stable than the  $C_s$  singlet by 1.2 kcal/mol.<sup>[28]</sup> Nevertheless, the singlet  $C_2$  (Möbius aromatic – see above) structure of 1CH<sup>+</sup> is more stable than the triplet by 24.1 kcal/mol. Among the heteronins, 1BH and 1AlH would also be candidates for  $8\pi$ electron triplet aromaticity. We have found, however, that these species have a singlet  $C_2$  conformation that is much more stable than both the triplet  $C_2$  and  $C_{2\nu}$  alternatives (see Table 3). The aromaticity in triplet 1BH and 1AlH is low even in the  $C_2$  form (e.g. NICS is near to zero). This agrees with our failure to locate Möbius-aromatic singlet **1BH** and **1AlH** forms since the cyclic conjugation energies of these systems must be too low.

Table 3. ZPE-corrected relative energies of the  $8\pi$ -electron heteronins and  $1CH^+$  in their singlet and triplet electronic state (based on the B3LYP/6-311+G(d,p)//B3LYP/6-311+G(d,p) energies and ZPEs)

	Single	Singlet state		Triplet state		
	$C_{2\nu}$	$C_2$	$C_{2\nu}$	$C_2$		
1CH+	24.1	0.0	22.7	19.0		
1BH	22.0	0.0	35.1	55.8		
1AlH	20.9	0.0	43.0	29.4		

#### **Experimentally Realizable Planar Heteronins**

As pointed out in the introduction, cyclooctatetraene and the strained [10]annulene can be planarized by the attachment of small rings as in 5,  $^{[32]}$  6 and  $^{[33]}$  Likewise, annelation of the C=C bonds by three-membered rings results in planar nine-membered rings:  $8\text{CH}_2$ , 80 and 8S have planar minima with well equalized bond lengths. Furthermore, the planarization barrier of 8PH, 7.6 kcal/mol, is smaller than the 10.7 kcal/mol computed for 1PH (Table 1). A further reduction of the inversion barrier to only 1.8 kcal/mol (B3LYP/6-31G\*) is achieved by attaching a bulky 2,6-ditert-butylphenyl group (known to "flatten"  $\sigma^3,\lambda^3$ -P atoms).  $^{[42,46]}$  The fully optimized structure has a 341° bond

angle sum about the phosphorus atom; consequently, the aromaticity of this derivative is high, as shown by its large Bird index of 84.7. Since the computed properties of the planar compounds **8X** are close to those of the  $C_{2\nu}$ -symmetric **1X**, these aromatic heteronins should be experimentally realizable.

# **Conclusions**

Among heteronins, 1X, only 1N<sup>-</sup>, 1NH, and the experimentally unknown 1P- are planar, aromatic systems (similar to 1CH<sup>-</sup>). The heteroatoms affect the extent of cyclic electron delocalization of the planar  $C_{2\nu}$  structures as in the analogous five-membered rings. 1CH- and 1CH+ provide the upper and lower bounds for the aromaticity/antiaromaticity of the  $C_{2\nu}$ -symmetric heteronins. While  $1\text{CH}_2$  is nonaromatic even when  $C_{2\nu}$  symmetry is imposed, hyperconjugation in  $1C(SiH_3)_2$  and  $1C(SnH_3)_2$  is effective and their  $C_{2\nu}$ forms are moderately aromatic and resemble the corresponding five-membered rings.<sup>[29]</sup> Conversely,  $C_{2\nu}$ -symmetric 1CF<sub>2</sub> is slightly antiaromatic. The planarity of the heteronins is governed by two opposing factors: the extent of the cyclic electron delocalization and the angle strain. Negative charge delocalization contributes to the planarity of the anions. Despite the considerable aromaticity of planar  $C_{2\nu}$ -symmetric **1PH** and **1S**, they both favor nonplanar structures which alleviate the angle strain induced by the relatively small bond angles at the heteroatoms.

While planarity constraints enhance the aromaticity of the  $10\pi$ -electron systems, in agreement with the Hückel rule, the opposite is true for the  $8\pi$ -electron systems.  $1NH^{2+}$   $1CH^+$ , 1BH and 1AlH avoid the antiaromatic destabilization as well as the ring strain by adopting  $C_2$  (or similar nonsymmetric) conformations. This offers the possibility of stabilization due to  $8\pi$  Möbius aromaticity, $^{[27]}$  but this only occurs with  $1CH^+$  and  $1NH^{2+}$ . The other  $8\pi$  heteronins (1BH, 1AlH and  $1CF_2$ , with weak electron acceptor groups) are simply nonaromatic. Among the planar  $8\pi$  systems, triplet  $1CH^+$  is aromatic due to its half-filled degenerate  $\pi$  shell. $^{[28,45]}$  However, triplet  $1CH^+$  is 24 kcal/mol less stable than the Möbius aromatic singlet. The aromaticities of the planar 1BH and 1AlH triplets are rather low.

Heteronins can be planarized by three-membered ring annelation. The **8X** species (with the exception of **8PH** which has a 7.6 kcal/mol barrier to planarity) were found to be minima. The barrier to inversion can be reduced further; in **8PR** (R: di-*tert*-butylphenyl) it is only 1.8 kcal/mol (B3LYP/6-31G\*). Annelation reduces the angle strain of the nine-membered rings. The CC bond lengths of the planar **8X** systems are close to those of the planar-constrained ( $C_{2\nu}$ ) **1X** analogs. This shows how monocyclic  $10\pi$  Hückel aromatic systems with nine-membered rings might be realized.

### **Supporting Information Available**

B3LYP/6-311+G(d,p) total energies and optimized structures in cartesian coordinates (see footnote on the first page of this article).

# Acknowledgments

This work was supported by the Fonds der Chemishe Industrie at Erlangen, where part of the work was carried out, by the University of Georgia, and by National Science Foundation Grant CHE-0209857. Further financial support from the VW Foundation for (L. Ny. and T. K.) as well as from OTKA T 034675 (L. Ny.) and OTKA D042216 (TK) is gratefully acknowledged.

- [1] P. J. Garratt, Aromaticity, John Wiley & Sons Ltd., Maidenhead, UK, 1986; V. I. Minkin, M. N. Glukhotsev, B. Ya. Simkin, Aromaticity and Antiaromaticity: Electronic and Structural Aspects, John Wiley & Sons, New York, 1994.
- E. E. Tamelen, T. L. Burkoth, J. Am. Chem. Soc. 1967, 89, 151 - 152.
- [3] [3a] S. Masamune, R. T. Seidner, J. Chem. Soc., Chem. Commun. 1969, 542-544. [3b] S. Masamune, K. Hojo, G. Bigam, D. L. Rabenstein, J. Am. Chem. Soc. 1971, 93, 4966-4968. [3c] S. Masamune, N. Darby, Acc. Chem. Res. 1972, 5, 272-281.
- [4] H. F. Bettinger, H. M. Sulzbach, P. v. R. Schleyer, H. F. Schaefer, III, J. Org. Chem. 1999, 64, 3278-3280.
- [5] R. A. King, T. D. Crawford, J. F. Stanton, H. F. Schaefer, III, J. Am. Chem. Soc. 1999, 121, 10788-10793.
- [6] T. J. Katz, P. J. Garratt, J. Am. Chem. Soc. 1963, 85, 2852-2853; E. A. LaLancette, R. E. Benson, J. Am. Chem. Soc. 1963, 85, 2853.
- [7] A. G. Anastassiou, E. Reichmanis, J. Am. Chem. Soc. 1976, 98, 8266-8267.
- [8] E. A. LaLancette, R. E. Benson, J. Am. Chem. Soc. 1965, 87, 1941 - 1846.
- [9] [9a] v. G. Boche, A. Bieberbach, Chem. Ber. 1978, 111, 2850-2858. [9b] v. G. Boche, D. Martens, W. Danzer, Angew. Chem. 1969, 81, 1003-1004. [9c] v. G. Boche, H. Weber, D. Martens, A. Bieberbach, Chem. Ber. 1978, 111, 2480-2496. [9d] v. G. Boche, H. Weber, A. Bieberbach, Chem. Ber. 1978, 111, 2833 - 2849.
- [10] A. G. Anastassiou, H. S. Kasmai, Adv. Heterocycl. Chem. 1978, *23*, 55-102.
- [11] [11a] A. G. Anastassiou, Acc. Chem. Res. 1972, 5, 281-288. [11b] A. G. Anastassiou, Acc. Chem. Res. 1976, 9, 453-458.
- [12] A. G. Anastassiou, S. W. Eachus, R. P. Cellura, J. H. Gebrian, J. Chem. Soc., Chem. Commun. 1970, 1133-1135.
- [13] A. P. Bindra, J. A. Elix, P. J. Garratt, R. H. Mitchell, J. Am. Chem. Soc. 1968, 90, 7372-7373.
- [14] A. G. Anastassiou, J. H. Gebrian, J. Am. Chem. Soc. 1969, 91, 4011-4012.
- [15] P. J. Garratt, A. B. Holmes, F. Sondheimer, K. P. C. Vollhardt, J. Am. Chem. Soc. 1970, 92, 4492-4493.
- [16] A. G. Anastassiou, R. P. Cellura, J. Chem. Soc., Chem. Com*mun.* **1969**, 903–904.
- [17] S. Masamune, S. Takada, R. S. Seidner, J. Am. Chem. Soc. **1969**, *91*, 7769–7771.
- [18] A. G. Anastassiou, R. P. Cellura, J. M. Spence, S. W. Eachus, J. Chem. Soc., Chem. Commun. 1972, 325-326.
- [19] L. D. Quin, E. D. Middlemas, N. S. Rao, J. Org. Chem. 1982, *47*, 905-912.
- [20] [20a] G. Wittig, H. Maercker, Chem. Ber. 1964, 97, 747. [20b] D. Hellwinkel, Chem. Ber. 1965, 98, 576-587.
- [21] N. E. Waite, J. C. Tebby, J. Chem. Soc. C 1970, 386-392.
- [22] [22a] B. A. Hess, Jr., L. J. Schaad, C. W. Holyoke, Jr., Tetrahedron 1972, 28, 3657-3667. [22b] B. A. Hess, Jr., L. J. Schaad, J. Am. Chem. Soc. 1973, 95, 3907-3912. [22c] N. G. Mukherjee. Indian J. Chem. 1994, 33B, 8788. [22d] O. Ouamerali, J. Gayoso, Int. J. Quant. Chem. 1986, 29, 1599-1624. [22e] J. Aihara, J. Am. Chem. Soc. 1976, 98, 2750–2758. [22f] A. Jurić, A. Sabljić, N. Trinajstić, J. Heterocycl. Chem. 1984, 21, 273–282. [22g] R. Salcedo, A. Martínez, L. E. Sansores, Tetrahedron 2001, 57, 8759 - 8765.
- [23] C. W. Bird, Tetrahedron 1985, 41, 1409-1415. (The reference bond orders are obtained as in ref.<sup>[29]</sup>)

- [24] BDSHRT was obtained as the average of the Gordy bond orders minus 1, and multiplied by 100; see: L. Nyulászi, P. Várnai, T. Veszprémi, *THEOCHEM* **1995**, 358, 55-61.
- <sup>[25]</sup> [25a] T. M. Krygowski, M. K. Cyransky, *Tetrahedron* **1996**, 52, 10255-10264. [25b] T. M. Krygowski, J. Chem. Inf. Comput. Sci. 1993, 33, 70-78.
- [26] [26a] P. v. R. Schleyer, C. Maerker, A. Dransfeld, H. Jiao, N. J. R. v. E. Hommes, J. Am. Chem. Soc. 1996, 118, 6317-6318.  $^{\text{[26b]}}$  The NICS  $_{\pi}$  describes the  $\pi$  contributions to the total NICS values: P. v. R. Schleyer, H. Jiao, N. J. R. v. E. Hommes, V. G. Malkin, O. L. Malkina, J. Am. Chem. Soc. 1997, 119, 12669 - 12670.
- [27] [27a] M. Mauksch, V. Gogonea, H. Jiao, P. v. R. Schleyer, Angew. Chem. Int. Ed. 1998, 37, 2395-2397. [27b] S. Martín-Santamaría, B. Lavan, H. S. Rzepa, J. Chem. Soc., Perkin Trans. 2 **2000**, 1415–1417. [27c] S. Martín-Santamaría, B. Lavan, H. S. Rzepa, Chem. Commun. 2000, 1089-1090.
- [28] V. Gogonea, P. v. R. Schleyer, P. R. Schreiner, Angew. Chem. Int. Ed. 1998, 37, 1945-1948.
- [29] L. Nyulászi, P. v. R. Schleyer, J. Am. Chem. Soc. 1999, 121, 6872 - 6875.
- [30] [30a] W. T. Borden, Chem. Commun. 1998, 1919-1925. [30b] K. B. Wiberg, M. Marquez, J. Am. Chem. Soc. 1998, 120, 2932–2938. The effect of CF<sub>2</sub> groups in delocalization was also studied in: [30c] S. J. Getty, D. A. Hrovat, J. D. Xu, S. A. Barker, W. T. Borden, J. Chem. Soc., Faraday Trans. 1994, 90, 1689-1701. [30d] A. Skancke, D. A. Hrovat, W. T. Borden, J. Am. Chem. Soc. 1998, 120, 7079-7084.
- [31] A. Göller, H. Heydt, T. Clark, J. Org. Chem. 1996, 61, 5840 - 5846.
- [32] F. W. B. Einstein, A. C. Willis, J. Chem. Soc., Chem. Commun. **1981**, 526-528.
- [33] P. v. R. Schleyer, H. Jiao, H. M. Sulzbach, H. F. Schaefer III, J. Am. Chem. Soc. 1996, 118, 2093-2094.
- [34] M. J. Frisch, G. W. Trucks, H. B. Schlegel, G. E. Scuseria, M. A. Robb, J. R. Cheeseman, V. G. Zakrzewski, J. A. Montgomery, Jr., R. E. Stratmann, J. C. Burant, S. Dapprich, J. M. Millam, A. D. Daniels, K. N. Kudin, M. C. Strain, O. Farkas, J. Tomasi, V. Barone, M. Cossi, R. Cammi, B. Mennucci, C. Pomelli, C. Adamo, S. Clifford, J. Ochterski, G. A. Petersson, P. Y. Ayala, Q. Cui, K. Morokuma, D. K. Malick, A. D. Rabuck, K. Raghavachari, J. B. Foresman, J. Cioslowski, J. V. Ortiz, A. G. Baboul, B. B. Stefanov, G. Liu, A. Liashenko, P. Piskorz, I. Komaromi, R. Gomperts, R. L. Martin, D. J. Fox, T. Keith, M. A. Al-Laham, C. Y. Peng, A. Nanayakkara, C. Gonzalez, M. Challacombe, P. M. W. Gill, B. Johnson, W. Chen, M. W. Wong, J. L. Andres, C. Gonzalez, M. Head-Gordon, E. S. Replogle, J. A. Pople, Gaussian 98, Revision A.7, Gaussian, Inc., Pittsburgh PA, 1998.
- [35] [35a] V. G. Malkin, O. L. Malkina, M. E. Casida, D. R. Salahub, J. Am. Chem. Soc. 1994, 116, 5898-5908. [35b] W. Kutzelnigg, U. Fleischer, M. Schindler, NMR Basic Princ. Progr. 1990, 23, 190.
- <sup>[36]</sup> For oxonin, the energy difference of the  $C_{2\nu}$  "in",  $C_s$  and  $C_1$ structures is less than 1 kcal/mol. At the CCSD(T)/cc-pVDZ// B3LYP/6-311+G(d,p)+ZPE [2nd derivatives at the B3LYP/6-31G(d)] level, however, the  $C_{2\nu}$  "in" conformer is 6.1 kcal/mol more stable than the  $C_{2v}$  "out".
- <sup>[37]</sup> The lowest-energy singlet state of the  $C_{2\nu}$ -symmetric **1CH**<sup>+</sup> is open shell, and is 2.2 kcal/mol lower in energy than the closedshell singlet discussed in the text. The open-shell structure is less antiaromatic than the closed-shell one [NICS(0): 0.9; BI: 64; BDSHRT: 61; HOMA: 0.60). The wavefunctions of the  $C_s$ structures of 1CH<sup>+</sup> and 1AlH in the singlet state are also UHF-unstable.
- [38] P. v. R. Schleyer, P. K. Freeman, H. Jiao, B. Goldfuss, Angew. Chem. Int. Ed. Engl. 1995, 34, 337-340.
- [39] P. v. R. Schleyer, J. Am. Chem. Soc. 1985, 107, 4793-4794.
- [40] L. Nyulászi, Chem. Rev. 2001, 101, 1229-1246.
- [41] [41a] L. Nyulászi, J. Phys. Chem. 1995, 99, 586-591. [41b] A.

© 2003 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim

- Dransfeld, L. Nyulászi, P. v. R. Schleyer, *Inorg. Chem.* 1998, 37, 4413–4420.
- [42] L. Nyulászi, Tetrahedron **2000**, 56, 79-84.
- [43] C. Schade, P. v. R. Schleyer, *Chem. Commun.* 1987, 1399-1401.
   J. Kapp, C. Schade, A. M. El-Nahas, P. v. R. Schleyer. *Angew. Chem. Int. Ed. Engl.* 1996, 35, 2236-2238.
- [44] G. Subramanian, P. v. R. Schleyer, H. Jiao, *Organometallics* 1997, 16, 2362–2369.
- [45] N. C. Baird, J. Am. Chem. Soc. 1972, 94, 4941-4948.
- [46] Gy. Keglevich, Zs. Böcskei, Gy. Keserű, K. Ujszászi, L. D. Quin, J. Am. Chem. Soc. 1997, 119, 5095-5099.

Received July 24, 2002